

SECTION 1: DISCLOSURE QUESTIONS (If 'Yes', attach explanation.)

1. Relationships with Financial Services Providers	Yes	No
2. Hawai'i State Contracts	Yes	No
3. Employer-Facing Interactions	Yes	No
4. Restrictions Affecting Independence	Yes	No
5. Access to Non-Public Information	Yes	No
6. Other Potential Conflicts	Yes	No
7. Proposed Mitigation Measures	Yes	No

SECTION 2: ATTESTATIONS (Initial each item)

1. Duty of Impartiality
2. Use of Information
3. Independence in Procurement
4. Accuracy of Proposal Information
5. Compliance with State Requirements
6. Financial Independence

SECTION 3: CERTIFICATION

Contractor / Organization:

Signature:

Date:

Printed Name & Title:

Number of Pages Attached: